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CONFIRMATION NO. 3671

| SERIAL NUMBER | FILING or 371(c) DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. | |
|--|---|-------------------------------|-----------------------------|---|--------------------------------|
| 09/851,848 | 05/09/2001 RULE | 705 | 3691 | CF/033 | |
| APPLICANTS Andrew C. Gilbert, Califon, NJ; Andrew Stergiopoulos, New York, NY; William P. Tselepis, New Providence, NJ; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/05/2001 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /OLABODE AKINTOLA/ Acknowledged Examiner's Signature | <input type="checkbox"/> Met after Allowance OA Initials | STATE OR COUNTRY NJ | SHEETS DRAWINGS 6 | TOTAL CLAIMS 31 | INDEPENDENT CLAIMS 6 |
| ADDRESS ROPES & GRAY LLP PATENT DOCKETING 39/361 1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036-8704 UNITED STATES | | | | | |
| TITLE Systems and methods for controlling traders from manipulating electronic trading markets | | | | | |
| FILING FEE RECEIVED 1148 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | |